



OUR MISSION

Provide issuers with the most comprehensive intelligence on their equity and debt stake holders.

Capital Markets Consulting

Save time and money with real-time institutional ownership analytics.

- Global Shareholder Identification Analysis
- Sharkwatch (Daily Activist Monitor)
- Retail Shareholder Analysis
- Record Date Ownership Analysis

Credit Markets Intelligence

Know your bondholders, lower borrowing costs and uniquely monitor secondary market activity.

- Global Bondholder Identification Analysis
- Bondholder Targeting Analysis
- Cross Ownership Analysis

Tax Audit Studies

Avoid scenarios in which capital in civil penalties and/or back taxes are at stake.

- Tax Treaty - Ownership Analysis
- Section 382 - Ownership Change Analysis

Regulatory Compliance

Prove the domiciliation of assets, potentially mitigate SEC reporting and avoid negative PR.

- Foreign Private Issuer Status Analysis
- Jones Act Compliance Analysis
- Geographic Ownership Studies

AST Ownership Intelligence provides clients with capital markets consulting, regulatory compliance certification and credit markets intelligence. The AST Ownership Intelligence team assists clients in obtaining the information and analysis they need to capitalize on relationships with key investors.

American Stock Transfer & Trust Company, LLC (AST) and its affiliates are leading providers of registry services and technology to financial market participants across North America. AST and its partner, CST Trust Company (CST), provide comprehensive stock transfer and employee plan services to more than 8,000 public issues and over 5.5 million shareholders.

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